



Receipt

Patent Docket P0998D2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Jeffrey L. Cleland et al. Serial No.: 09/648,896 Filed: August 25, 2000 For: PROTEIN FORMULATION	Group Art Unit: 1642 Examiner: C. Yaen <div><p>CERTIFICATE OF MAILING</p><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</p><p><i>January 31</i>, 2002</p><p><i>Anna Kan</i></p><p>Anna Kan</p></div>
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TRANSMITTAL LETTER

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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MAR 13 2002

TECH CENTER 1600/2900

Sir:

Transmitted herewith are the following documents:

1. Third Request for a Corrected Filing Receipt
2. Copy of Filing Receipt with Corrections Indicated in Red Ink
3. Copy of Response to Request Corrected Filing Receipt mailed 7/30/2001

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

By:

Wendy M. Lee
Wendy M. Lee

Reg. No. 40,378

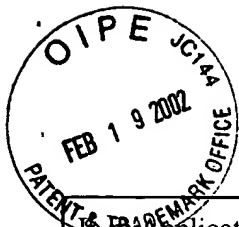
Telephone No. (650) 225-1994

Date: *January 30* 2002



09157

PATENT TRADEMARK OFFICE



Patent Docket P0998D2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Jeffrey L. Cleland et al. Serial No.: 09/648,896 Filed: August 25, 2000 For: PROTEIN FORMULATION	Group Art Unit: 1642 Examiner: C. Yaen CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on <i>January 31, 2002</i> <i>Anna Kan</i> Anna Kan
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THIRD REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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Sir:

Attached is a copy of the Corrected Official Filing Receipt received from the PTO in the above application for which issuance of a second corrected filing receipt is respectfully requested. Please make the following corrections:

Please correct the Continuing Data as Claimed by Applicant section, so that it reads as follows:

THIS APPLICATION IS A DIV OF 09/273,230 03/18/1999
WHICH IS A DIV OF 08/615,369 03/14/1996
WHICH CLAIMS BENEFIT OF 60/029,182 07/27/1995 ABN

The correction is not due to any error by applicant and no fee is believed to be due. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,
GENENTECH, INC.

Date: January 30, 2002By: *Wendy M. Lee*

Wendy M. Lee
Reg. No. 40,378
Telephone No. (650) 225-1994



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CK

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/648,896	08/25/2000	1641 ✓	726	P0998D2	19	22	2

CONFIRMATION NO. 6985 ✓

 Genentech, Inc.
 1 DNA Way
 South San Francisco, CA 94080

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MAR 26 2001

CORRECTED FILING RECEIPT



OC000000005891179

 GENENTECH, INC.
 LEGAL DEPT.

Date Mailed: 03/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Jeffrey L. Cleland, San Carlos, CA;
 Chung C. Hsu, Los Altos Hills, CA;
 Xanthe M. Lam, San Francisco, CA;
 David E. Overcashier, El Granada, CA;
 Janet Yu-Feng Yang, San Mateo, CA;

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Continuing Data as Claimed by Applicant

 THIS APPLICATION IS A DIV OF 09/273,230 03/18/1999
 WHICH IS A DIV OF 08/615,369 03/14/1996
 WHICH CLAIMS BENEFIT OF 60/029,182 07/29/1996 ABN

07/27/1995

Foreign Applications

If Required, Foreign Filing License Granted 10/17/2000

Projected Publication Date:

Non-Publication Request: No

Early Publication Request: No

Title

Protein formulation

Preliminary Class

424

Data entry by : DAY, MARIAN

Team : OIPE

Date: 03/22/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
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Washington, DC 20231

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WMH/LS

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/648,896	08/25/2000	Jeffrey L. Cleland	P0998D2

CONFIRMATION NO. 6985



Genentech, Inc.
1 DNA Way
South San Francisco, CA 94080

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AUG 02 2001

Date Mailed: 07/30/2001

GENENTECH, INC.
LEGAL DEPT.

RESPONSE TO REQUEST CORRECTED FILING RECEIPT

Application Filing Date

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TECH CENTER 1600/2900

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The correct application number appears on the Filing Receipt. Your postcard included an incorrect application number. Please update your records to reflect the application number on the enclosed Filing Receipt. See MPEP 503.
- ☐ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a request for a corrected Filing Receipt should be submitted along with a copy of the Express Mail label showing the "date in."
- ☐ A copy of the Express Mail label was not enclosed with your request. Please resubmit.
- ☐ The date on the Express Mail label is the date given on the Filing Receipt.
- ☐ The filing date accorded to the application is the date the application met the filing date requirement of 37 CFR 1.53(b) or (c).
- ☒ Filing date of a parent application cannot be changed by this request. A request for corrected Filing Receipt must be directed to such parent application.
- ☐ Applications submitted under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the Patent and Trademark Office.
- ☐ The filing date on your return postcard is the same as the date on your Filing Receipt.
- ☐ A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date. The petition should be

directed to the attention of the Office of Petitions

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Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

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